

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Pak-Lung Seto et al.

Title: DATA FLOW CONTROL IN A DATA STORAGE SYSTEM

Docket No.: 32319.P18315

Filed: March 31, 2004

Examiner: Unknown

Serial No.: 10/815,271

Due Date: N/A

Group Art Unit: 2182

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



We are transmitting herewith the following attached items (as indicated with an "X"):

☒ A return postcard and this transmittal document.

☒ An Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.), and copies of 4 cited documents.

Customer Number 45459:

By

Edmund P. Pfleger

Reg. No. 41,252

EPP/clh

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 7 day of June, 2005.

Chris Hammond

Name

Chris Hammond

Signature

(GENERAL)



S/N 10/815,271

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Pak-Lung Seto et al.	Examiner:	Unknown
Serial No.:	10/815,271	Group Art Unit:	2182
Filed:	March 31, 2004	Docket:	32319.P18315
Title:	DATA FLOW CONTROL IN A DATA STORAGE SYSTEM		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the referenced materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication. Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

Enclosed is a form PTO1449 citing to communication protocols and standards cited throughout the specification. These citations and accompanying documents provided herewith are the Tables of Contents only for each item. Please be advised that these communication protocols and standards are lengthy documents and the undersigned attorney has not read these documents nor made an assessment as to the materiality of any of the references cited herein.

If, after review of the information cited herein, the Examiner wishes to receive complete copies of the underlying communication protocols and standards, please advise these office in accordance with 37 CFR § 1.105.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

INFORMATION DISCLOSURE STATEMENT

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Serial No :10/815,271

Dkt: P18315

Filing Date: March 31, 2004

Title: DATA FLOW CONTROL IN A DATA STORAGE SYSTEM

Respectfully submitted,

PAK-LUNG SETO

By his Representatives,

Customer Number: 45459

603-668-6560

Date 6/6/05


By 

Edmund P. Pfleger

Reg. No. 41,252

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Chris Hammond
Name

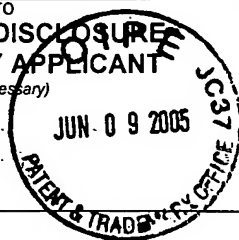

Signature

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/815,271
Filing Date	March 31, 2004
First Named Inventor	Seto, Pak-Lung
Group Art Unit	2182
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 32319.P18315

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"PCI Express Base Specification Revision 1.0", <u>PCI Express, Table of Contents</u> , (07/22/2002), 15 pgs	
		"PCI-X Addendum to the PCI Local Bus Specification", <u>Revision 1.0a, Table of Contents</u> , (07/24/2000), 9 pgs.	
		"Serial ATA: High Speed Serialized AT Attachment, Serial ATA Workgroup", <u>Revision 1.0a, Table of Contents</u> , APT Technologies, Inc., (01/07/03), 10 pgs.	
		"Working Draft American National Standard, Project T10/1601-D", <u>Revision 1, Table of Contents</u> , Information Technology- Serial Attached SCSI- 1.1 (SAS- 1.1), (09/18/2003), 24 pgs.	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached